



# SINK or SWIM

## RISK ASSESSMENT SNAPSHOT: CONSOLIDATED REPORT

### CONSOLIDATED FEEDBACK

60 Assessments (Average results per category)

Last Qtr 2009

### RISK MANAGEMENT AND SUMMARY

*Please note that these results are based on a range of individual feedback from a number of companies. This report is designed to provide an overview of the main areas of Risk identified - it is not a scientific survey.*

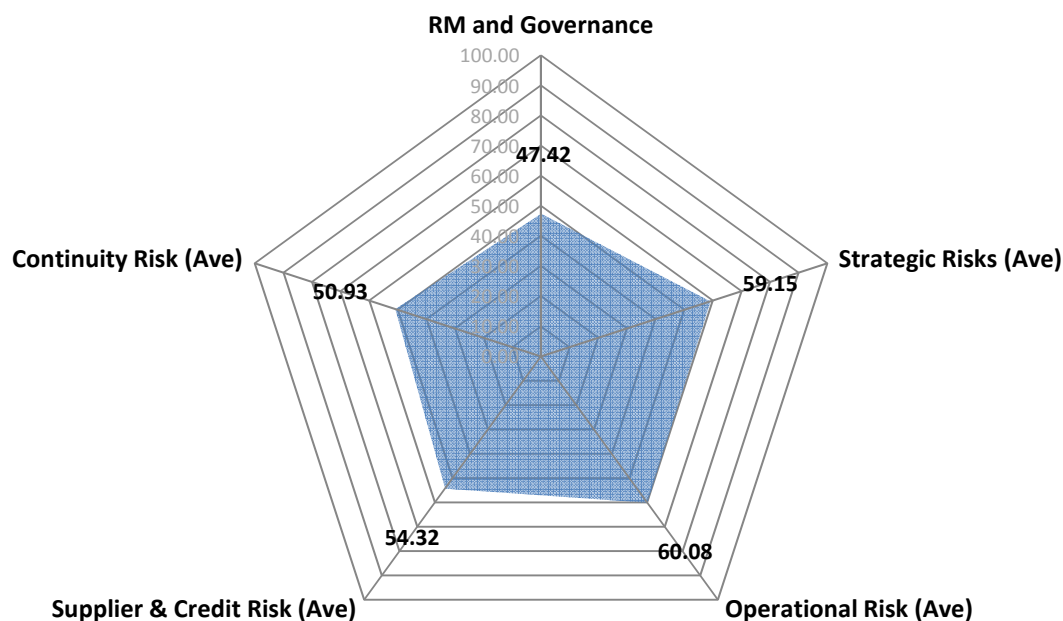
For more information or to complete a Risk Assessment please contact:

Roger Hitchcock

[roger@sinkorswim.co.za](mailto:roger@sinkorswim.co.za)

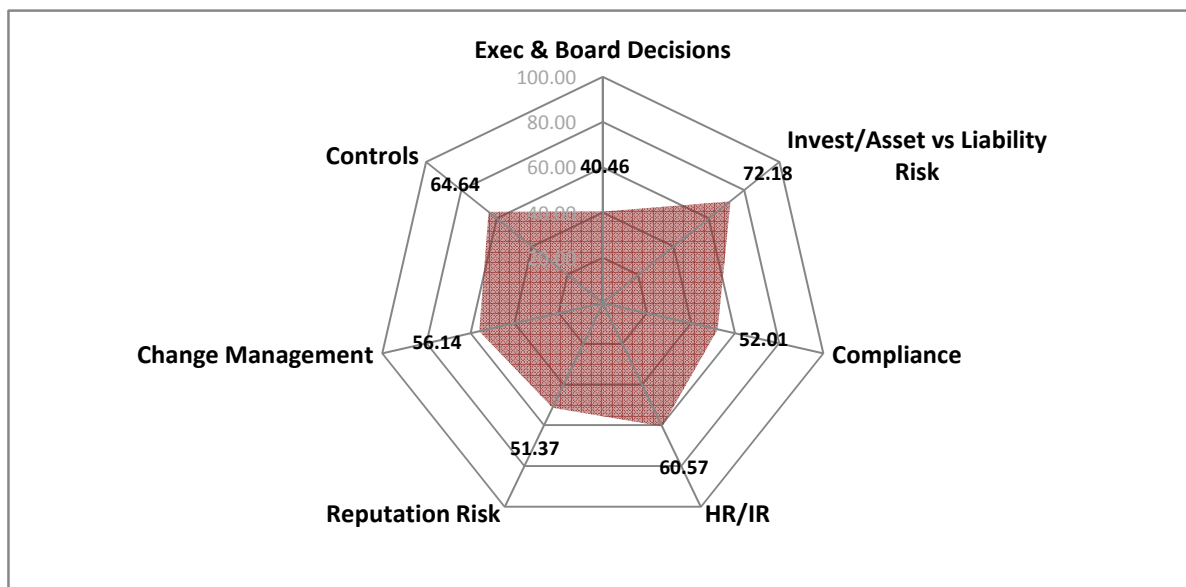
[www.sinkorswim.co.za](http://www.sinkorswim.co.za)

### Overall Score - Main Risk Categories



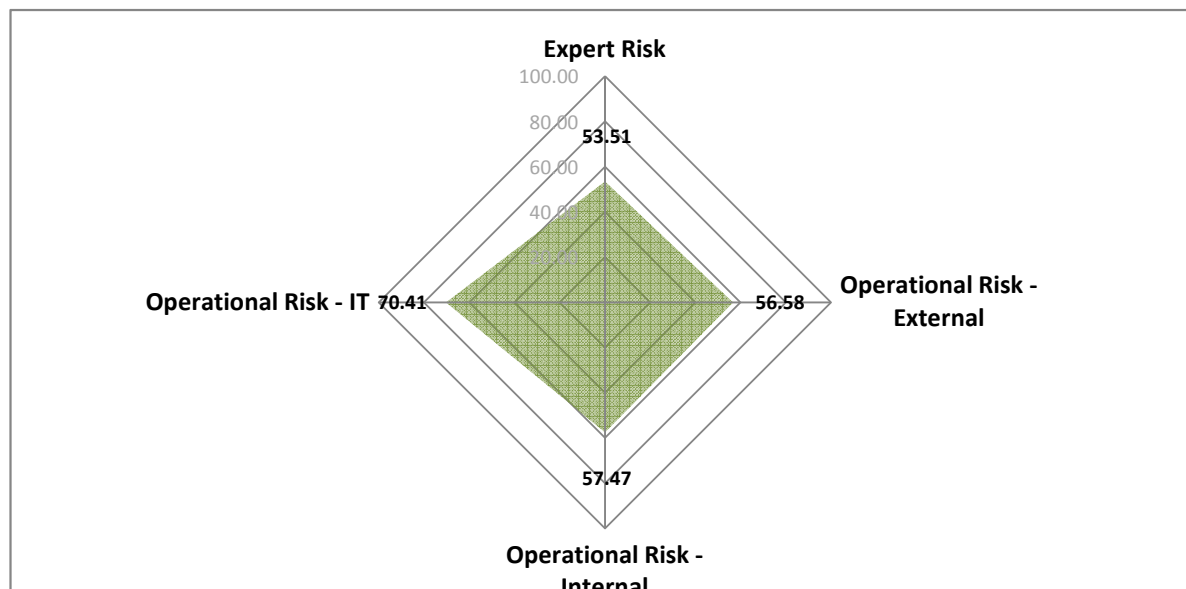
PRIORITIES (Low to High)		Urgency	Comments
Risk Management and Governance	47	HIGH	RISK MANAGEMENT & GOVERNANCE
Continuity Risk	50	HIGH	RISK MANAGEMENT & GOVERNANCE
Supplier and Credit Risk	54	MODERATE	RISK MANAGEMENT & GOVERNANCE
Strategic Risk	59	MODERATE	RISK MANAGEMENT & GOVERNANCE
Operational Risk	60	MODERATE	RISK MANAGEMENT & GOVERNANCE

## Drill Down - Strategic Risk



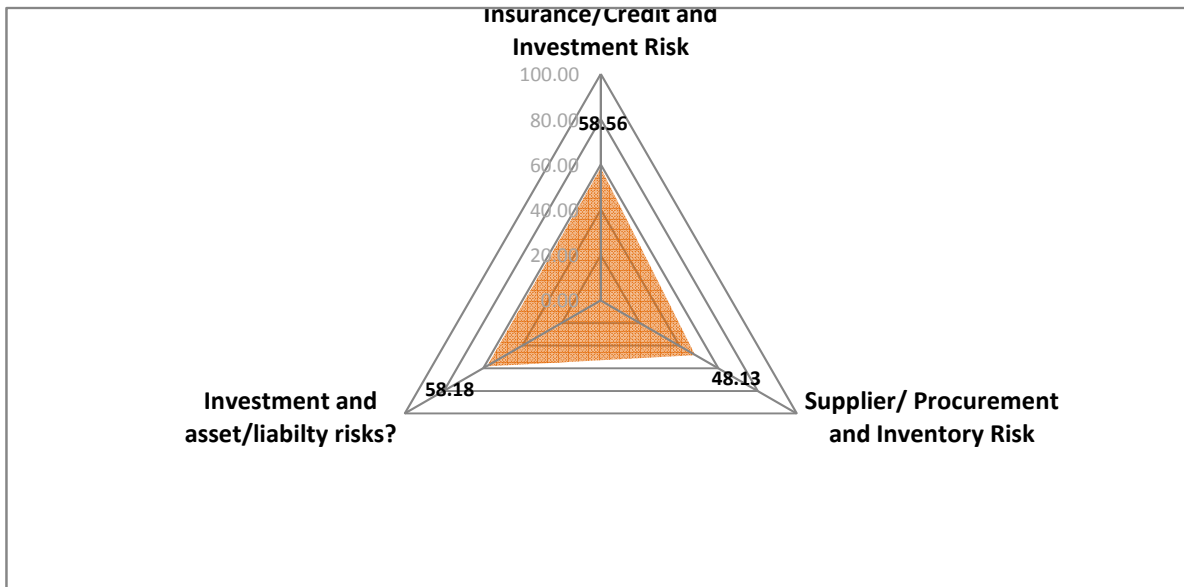
PRIORITIES (Low to High)		Urgency	Comments
Exec and Board Decisions	40	HIGH	STRATEGIC RISK AREA
Reputation Risk	51	MODERATE	STRATEGIC RISK AREA
Compliance	52	MODERATE	STRATEGIC RISK AREA
Change Management	56	MODERATE	STRATEGIC RISK AREA
HR/IR	60	MODERATE	STRATEGIC RISK AREA
Controls	64	MODERATE	STRATEGIC RISK AREA
Investment/Asset vs Liability	72	MODERATE	STRATEGIC RISK AREA

## Drill Down - Operational Risk



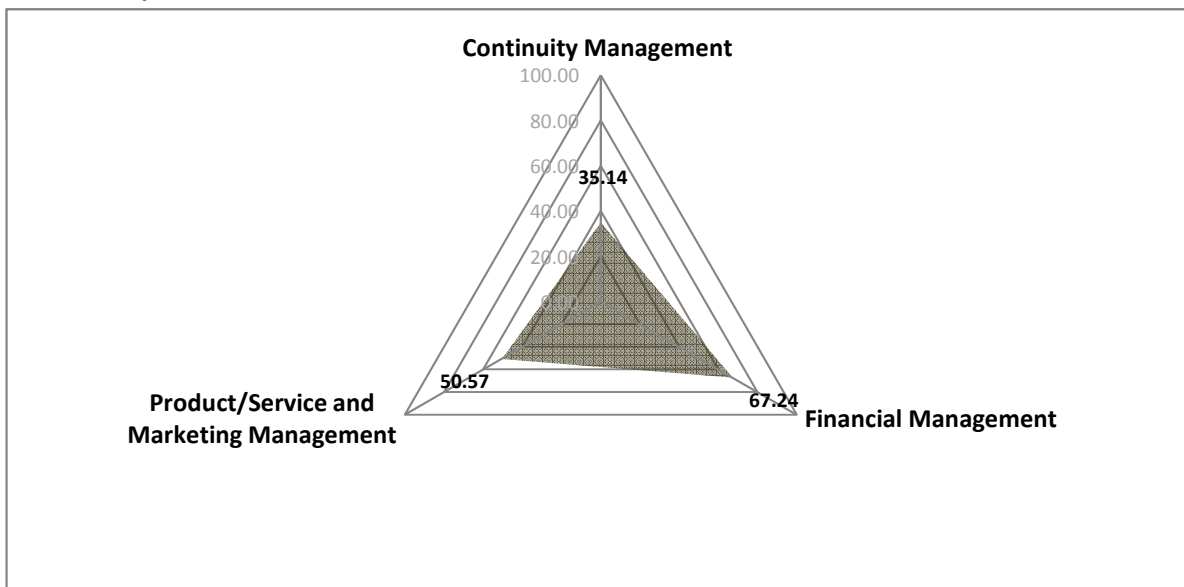
PRIORITIES (Low to High)		Urgency	Comments
Expert Risk	53	MODERATE	OPERATIONAL RISK AREA
Operational Risk - External	56	MODERATE	OPERATIONAL RISK AREA
Operational Risk - Internal	57	MODERATE	OPERATIONAL RISK AREA
Operational Risk - IT	70	MODERATE	OPERATIONAL RISK AREA

## Drill Down - Supplier & Credit



PRIORITIES (Low to High)		Urgency	Comments
Supplier Proc and Invent	48	HIGH	SUPPLIER AND CREDIT RISK AREA
Insurance/Credit and Invest	58	MODERATE	SUPPLIER AND CREDIT RISK AREA
Investment and asset/liability	58	MODERATE	SUPPLIER AND CREDIT RISK AREA

## Drill Down - Continuity



PRIORITIES (Low to High)		Urgency	Comments
Continuity Mgt	35	HIGH	CONTINUITY RISK AREA
Product/Service and Mktg Mgt	50	HIGH	CONTINUITY RISK AREA
Financial Mgt	67	MODERATE	CONTINUITY RISK AREA

**CONSOLIDATED RISK ASSESSMENT CATEGORIES**

**Summary of Principle Risk Areas**

<b>PRIORITIES (Low to High)</b>		<b>Urgency</b>	
Continuity Mgt	35	HIGH	CONTINUITY RISK AREA
Exec and Board Decisions	40	HIGH	STRATEGIC RISK AREA
Risk Management and Governance	47	HIGH	RISK MANAGEMENT & GOVERNANCE
Supplier Procurement and Inventory	48	HIGH	SUPPLIER AND CREDIT RISK AREA
Product/Service and Mktg Mgt	50	HIGH	CONTINUITY RISK AREA
Reputation Risk	51	MODERATE	STRATEGIC RISK AREA
Compliance	52	MODERATE	STRATEGIC RISK AREA
Expert Risk	53	MODERATE	OPERATIONAL RISK AREA
Change Management	56	MODERATE	STRATEGIC RISK AREA
Operational Risk - External	56	MODERATE	OPERATIONAL RISK AREA
Operational Risk - Internal	57	MODERATE	OPERATIONAL RISK AREA
Insurance/Credit and Invest	58	MODERATE	SUPPLIER AND CREDIT RISK AREA
Investment and asset/liability	58	MODERATE	SUPPLIER AND CREDIT RISK AREA
HR/IR	60	MODERATE	STRATEGIC RISK AREA
Controls	64	MODERATE	STRATEGIC RISK AREA
Financial Mgt	67	MODERATE	CONTINUITY RISK AREA
Operational Risk - IT	70	MODERATE	OPERATIONAL RISK AREA
Investment/Asset vs Liability	72	MODERATE	STRATEGIC RISK AREA

